



Financial Assistance Award

DENALI COMMISSION

510 "L" Street, Suite 410
Anchorage, Alaska 99501
(907) 271-1414

Project Number

0040-DC-2001-I21

Denali Commission Accounting Code

95670000	FY01 Commission funding	\$728,900

Total Commission Funding

DEC \$728,900

Funds Available & Apportioned by OMB

Recipient Name & Address

City of Klawock
P.O. Box 49
Klawock, AK 99925

Phone (907) 755-2261
Fax (907) 755-2403

Cost Share Distribution

	Denali Commission	Other contributors	Totals
	\$728,900		\$728,900
DEC		\$135,000	\$135,000
City of Klawock		\$18,000	\$18,000
Totals	\$728,900	\$153,000	\$881,900

Authority

112 Stat 1854

Project Title

Solid Waste Transfer Station Demonstration Project

Award Performance Period

From: April 19, 2001
To: December 31, 2002

This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.

- ☐ EDA Standard Terms and Conditions Public Works and Implementations Construction Components, dated 3/99
- ☒ Special Award Conditions and Attachments
- ☒ Line Item Budget
- ☒ 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html)
- ☒ OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments (www.whitehouse.gov/OMB/circulars/a087/a087-all.html)
- ☒ OMB Circular A-133, Audits of States, Local Governments and Indian Tribal Governments (www.whitehouse.gov/OMB/circulars/a133/a133.html)
- ☐ 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)
- ☐ OMB Circular A-122, Cost Principles for Nonprofit Organizations (www.whitehouse.gov/OMB/circulars/a122/a122.html)
- ☐ OMB Circular A-21, Cost Principles for Educational Institutions (www.whitehouse.gov/OMB/circulars/a021/a021.html)
- ☐ EDA Standard Terms and Conditions Capacity Building Programs
- ☐ Department of Commerce Financial Assistance Standard Terms and Conditions, dated 10/98

Signature of Authorized Official - Denali Commission

[Signature]

Typed Name and Title

Jeffrey B. Staser, Federal Co-Chair

Date

4/26/01

Signature of Authorized Official

[Signature]

Typed Name and Title

Donna J. Williams
Mayor, City of Klawock

Date

4/30/01

Award Conditions to the Financial Assistance Award
Project No. 0040-DC-2001-I21
Solid Waste Transfer Station Demonstration Project
City of Klawock

1. The scope of work for this project includes preparation of bid package, issuance of RFP's, and awards of contract(s) for site preparation, construction, project management and commissioning of a Solid Waste Transfer Station Demonstration Project for the City of Klawock. Shifting of costs between line items of the scope of work may be allowed with prior written approval from the Commission Project Officer. In the event there is a balance of funding after the scope of work is completed, excess funds will be retained by the Denali Commission.
2. Expenditures made by the City of Klawock on this project from their DEC grant or from grant match funds prior to the date of this Financial Assistance Award will be recognized for purposes of matching financial contribution to the project. Expenditures made by the City on this project after the date of this Financial Assistance Award should reflect pro-rata share of project costs to each source of funding.
3. The Project Officer for the City is Ms. Donna Williams (Mayor, City of Klawock) and for the Denali Commission, Ms. Kathy Prentki.
4. The cost principles of OMB Circular A-87 are applicable to this award. Indirect costs incurred by the City on this project are not allowed, although subcontractors are not held to this requirement.
5. The City agrees to comply with the requirements of OMB Circular A-133, "Audits of States, Local Governments and Non-Profit Organizations."
6. To receive payment, the City must submit a "Request for Advance or Reimbursement", Standard Form-270, to the Commission Project Officer. It is expected that the City will forecast by letter or spreadsheet format, Commission funds for working capital advances needed on a monthly (or as needed) basis for the project. Requests for Advance funding should not forecast further ahead than one quarter, and Denali Commission funds advanced **may not** be deposited into interest bearing accounts. This does not preclude more frequent Commission payments than monthly, given project specific needs. The Commission Project Officer may review all payments to determine if payments made are justified and supported by appropriate records, invoices and other documentation. The Commission reserves the right to prohibit further payments to the City, at any time, if discrepancies involving Commission funds arise, until such time that the discrepancies are resolved to the satisfaction of the Commission. Pay requests for City administrative costs shall be on a separate Standard Form – 270 (quarterly) from project pay requests.
7. To the maximum extent feasible, considering applicable laws, the City shall accomplish the work of this Agreement utilizing local labor and small, minority owned and disadvantaged businesses.

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Solid Waste Transfer Station Demonstration Project
City of Klawock

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8. No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.
9. Written request by letter from the City Project Officer to the Commission Project Officer for concurrence is necessary for significant budget revision approval.
10. The City may choose to enter into a separate agreement with the Alaska Department of Conservation for technical support or project management services in carrying out the scope of work. These services may range from doing all the scope of work, a portion, or technical assistance to the City to obtain construction and project management contracts.
11. The City of Klawock is to establish a schedule and budget for this project within 30 days of the date of the financial assistance award. The City shall thereafter submit written progress reports to the Commission Project Officer quarterly (March 31-June 30-September 30-December 31). Interim reports are required in the event of significant project events that may extend the project schedule and/or impact the project budget. Quarterly progress reports shall include a narrative summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems. These progress reports shall be sent to:

Denali Commission
510 "L" Street, Suite 410
Anchorage, AK 99501.

The Commission reserves the right, at a future date, to direct the City to provide the progress reports in electronic format for posting on the Commission web page.

12. Four forms of project financial reports are required.
 - A. Project financial status reports shall be submitted to the Commission Project Officer quarterly (included as part of the quarterly progress reports). The quarterly financial status report shall show the following:
 - i. the total project budget
 - ii. the project schedule with milestone dates for construction
 - iii. the total amount of Denali Commission funds committed to the project
 - iv. the total project expenditures as of the end of the most recent quarter
 - v. the total expenditure of Denali Commission funds for the project as of the end of the most recent quarter
 - vi. the percentage of expenditures to the total budget; and

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- vii. a project performance analysis on project line items, as defined by the City, showing budget costs compared to actual expenditures and obligations to date versus work performed to date (for each line item).
- B. Project expenditure summaries will be required upon submitting Requests for Advance or Reimbursement, or quarterly if no request has been made.
- C. A final Financial Status Report (Standard Form 269 - <http://www.whitehouse.gov/OMB/grants/index.html>) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the City must annually submit a completed Standard Form 269 within 90 days after the end of each anniversary of the award date.
- D. A Labor Type, Residence, and Wage Report shall be submitted to the Commission Project Officer at a minimum of two times annually. At a minimum, these two reports must be submitted on or near August 1st and December 1st each year to correspond with the Alaska construction season. A Labor Type, Residence, and Wage Report must include the following information by construction project for any person employed on the construction of that project: position, place of primary residence, first check date, last check date, rate of pay per hour, payroll earnings from inception of project to date.